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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

Declaration
Submitted
With Initial
Filing

OR

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

First Named Inventor

ROBERT CLARK MAXWELL

COMPLETE IF KNOWN

Application Number

Filing Date

Art Unit

Examiner Name

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

APPARATUS AND METHOD FOR MINIMIZING OR
ELIMINATING SHADOWS IN DISPLAY DEVICES

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

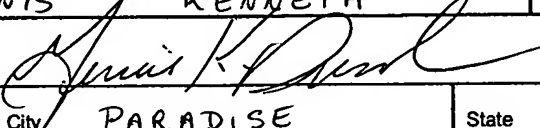
This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO : Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/> Customer Number: OR <input checked="" type="checkbox"/> Correspondence address below			
Name <i>WILLIAM W. BODNAR, PATENT AGENT</i>			
Address <i>818 BROOKWOOD WAY</i>			
City <i>CHICO</i>	State <i>CA</i>	ZIP <i>95926</i>	
Country <i>USA</i>	Telephone <i>530-570-8951</i>	Fax <i>530-879-0261</i>	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) <i>ROBERT CLARK</i>		Family Name or Surname <i>MAXWELL</i>	
Inventor's Signature <i>Katherine Maxwell for Robert C Maxwell</i>		Date <i>10-30-03</i>	
Residence: City <i>PARADISE</i>	State <i>CA</i>	Country <i>USA</i>	Citizenship <i>USA</i>
Mailing Address			
City	State	ZIP	Country
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) <i>DAVID DWAYNE</i>		Family Name or Surname <i>ECHEBERRY</i>	
Inventor's Signature <i>David D Echberry</i>		Date <i>11-20-03</i>	
Residence: City <i>PARADISE</i>	State <i>CA</i>	Country <i>USA</i>	Citizenship <i>USA</i>
Mailing Address <i>452 ELDEREDGE</i>			
City <i>PARADISE</i>	State <i>CA</i>	ZIP <i>95969</i>	Country <i>USA</i>
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the <u>1</u> supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental SheetPage 1 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
DENNIS KENNETH		RAUSCH	
Inventor's Signature 		Date 11/20/03	
Residence: City	PARADISE	State	CA
Country	USA	Citizenship	USA
Mailing Address			
Mailing Address 6094 N. LIBBY			
City	PARADISE	State	CA
Zip	95969	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
Mailing Address			
City		State	
Zip		Country	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
Mailing Address			
City		State	
Zip		Country	

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

DECLARATION	LEGAL REPRESENTATIVES (35 U.S.C. 117) Supplemental Sheet
Page <u>2</u> of <u>2</u>	

Nam of Legal R presentativ :		<input type="checkbox"/> A petition has been filed for this non-signing legal representative	
Given Name (first and middle (if any))		Family Name or Surname	
KATHERINE O.		MAXWELL	
Legal Representative's Signature <i>Katherine O Maxwell</i>			Date <i>11-20-03</i>
Residence: City <i>PARADISE</i>	State <i>CA</i>	Country <i>USA</i>	Citizenship <i>USA</i>
Mailing Address			
Mailing Address <i>P.O. BOX 159</i>			
City <i>PARADISE</i>	State <i>CA</i>	Zip <i>95967</i>	Country <i>USA</i>
Name of Additional Legal Representative, if any:		<input type="checkbox"/> A petition has been filed for this non-signing legal representative	
Given Name (first and middle (if any))		Family Name or Surname	
Legal Representative's Signature			
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country
Name of Additional Legal Representative, if any:		<input type="checkbox"/> A petition has been filed for this non-signing legal representative	
Given Name (first and middle (if any))		Family Name or Surname	
Legal Representative's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country

This collection of information is required by 35 U.S.C. 117 and 37 CFR 1.42, 1.43, 1.63 and 1.64(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ASSIGNMENT OF INVENTION AND PATENT APPLICATION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for the other good and valuable consideration,

ASSIGNOR: Katherine O. Maxwell, Paradise, CA

hereby sells, assigns, and transfers and sets over to

ASSIGNEE: Fashion Displays, Inc.
Dba Fashion Optical Displays
P.O. Box 159
Paradise, CA 95967

and the successors, assigns and legal representatives of the ASSIGNEE 100% of the following:

(A) ASSIGNOR'S right, title and interest in and to the invention entitled

“Apparatus and Method for Minimizing or Eliminating Shadows In Display Devices”

and invented by ASSIGNOR; (B) *Provisional Patent Application #60/454,975* and subsequent Utility Patent Application filed on ____ / ____ / ____; (C) any patent or reissues of any patent that may be granted thereon; and (D) any applications which are continuations, continuation-in-part, substitutes, or division of said application. ASSIGNOR authorizes ASSIGNEE to enter the date of filing in the spaces above. ASSIGNOR also authorizes and requests the Assistant Commissioner for Patents to issue any resulting patent(s) as follows: 0% to ASSIGNOR and 100% to ASSIGNEE.

ASSIGNOR hereby further sells, assigns, transfers, and sets over unto ASSIGNEE, the above percentage of ASSIGNOR'S entire right, title and interest in and to said invention in each and every country foreign to the United States; and ASSIGNOR further conveys to ASSIGNEE the above percentage of all priority rights resulting from the above-identified application for the United States patent. ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense, as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey, and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

In testimony whereof ASSIGNOR has hereunto set its hand and seal on the date below.

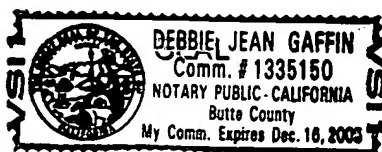
Signed: Katherine B Maxwell
Printed Name KATHERINE O. MAXWELL

Date: 11-20-03

State: California)
) ss
County: Butte)

Subscribed and sworn to before me on this 20th day of November, 2003.

Dulcie Jean Gaffin
Notary Public



ASSIGNMENT OF INVENTION AND PATENT APPLICATION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for the other good and valuable consideration,

ASSIGNOR: Dennis K. Rausch, Paradise, CA

hereby sells, assigns, and transfers and sets over to

ASSIGNEE: Fashion Displays, Inc.
 Dba Fashion Optical Displays
 P.O. Box 159
 Paradise, CA 95967

and the successors, assigns and legal representatives of the ASSIGNEE 100% of the following:

(A) ASSIGNOR'S right, title and interest in and to the invention entitled

"Apparatus and Method for Minimizing or Eliminating Shadows In Display Devices"

and invented by ASSIGNOR; (B) *Provisional Patent Application #60/454,975* and subsequent Utility Patent Application filed on ____ / ____ / ____; (C) any patent or reissues of any patent that may be granted thereon; and (D) any applications which are continuations, continuation-in-part, substitutes, or division of said application. ASSIGNOR authorizes ASSIGNEE to enter the date of filing in the spaces above. ASSIGNOR also authorizes and requests the Assistant Commissioner for Patents to issue any resulting patent(s) as follows: 0% to ASSIGNOR and 100% to ASSIGNEE.

ASSIGNOR hereby further sells, assigns, transfers, and sets over unto ASSIGNEE, the above percentage of ASSIGNOR'S entire right, title and interest in and to said invention in each and every country foreign to the United States; and ASSIGNOR further conveys to ASSIGNEE the above percentage of all priority rights resulting from the above-identified application for the United States patent. ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense, as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey, and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

In testimony whereof ASSIGNOR has hereunto set its hand and seal on the date below.

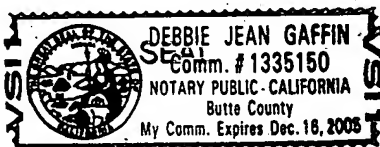
Signed: Lewis K. Rausch
Printed Name LEWIS K. RAUSCH

Date: 11/20/03

State: California)
) ss
County: Butte)

Subscribed and sworn to before me on this 20th day of November, 2003.

20th day of November, 2003.
Debbie Jean Gaffin
Notary Public



ASSIGNMENT OF INVENTION AND PATENT APPLICATION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for the other good and valuable consideration,

ASSIGNOR: David D. Echeberry, Paradise, CA

hereby sells, assigns, and transfers and sets over to

ASSIGNEE: Fashion Displays, Inc.
Dba Fashion Optical Displays
P.O. Box 159
Paradise, CA 95967

and the successors, assigns and legal representatives of the ASSIGNEE 100% of the following:

(A) ASSIGNOR'S right, title and interest in and to the invention entitled

“Apparatus and Method for Minimizing or Eliminating Shadows In Display Devices”

and invented by ASSIGNOR; (B) *Provisional Patent Application #60/454,975* and subsequent Utility Patent Application filed on ____ / ____ / ____; (C) any patent or reissues of any patent that may be granted thereon; and (D) any applications which are continuations, continuation-in-part, substitutes, or division of said application. ASSIGNOR authorizes ASSIGNEE to enter the date of filing in the spaces above. ASSIGNOR also authorizes and requests the Assistant Commissioner for Patents to issue any resulting patent(s) as follows: 0% to ASSIGNOR and 100% to ASSIGNEE.

ASSIGNOR hereby further sells, assigns, transfers, and sets over unto ASSIGNEE, the above percentage of ASSIGNOR'S entire right, title and interest in and to said invention in each and every country foreign to the United States; and ASSIGNOR further conveys to ASSIGNEE the above percentage of all priority rights resulting from the above-identified application for the United States patent. ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense, as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey, and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

In testimony whereof ASSIGNOR has hereunto set its hand and seal on the date below.

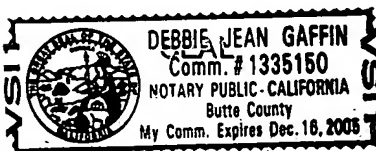
Signed: David D. Echeberry
Printed Name DAVID D. ECHEBERRY

Date: 11-20-03

State: California)
County: Butte) ss

Subscribed and sworn to before me on this 20th day of November, 2003.

William Jean Hoff
Notary Public



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	
Filing Date	November 3, 2003
First Named Inventor	Robert C. Maxwell
Title	Minimizing Shadows in Displays
Art Unit	
Examiner Name	
Attorney Docket Number	

I hereby appoint:

☐

Practitioners associated with the Customer Number:

OR

☒

Practitioner(s) named below:

Name	Registration Number
William W. Bodnar	51,479

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐

The address associated with the above-mentioned Customer Number:

OR

☐

The address associated with Customer Number:

OR

☒Firm or
Individual Name

William W. Bodnar, Patent Agent

Address

818 Brookwood Way

Address

City

Chico

State

CA

Zip

95926

Country

USA

Telephone

530-570-8951

Fax

530-879-0261

I am the:

☐

Applicant/Inventor.

☒Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name

Ernest F. Henton

Signature

Ernest F. Henton

Date

11-20-03

Telephone

530-877-8832

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO : Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

TO: U.S. Patent and Trademark Office
FROM: Fashion Optical
RE: Assignment of Patent Application

Fashion Displays, Inc., dba Fashion Optical Displays, Paradise, CA has been assigned the entire interest in the invention described in Provisional Patent Application 60/454,975 and the enclosed Non-Provisional Utility Patent Application claiming priority from the Provisional Application.

The assignments of two of the inventors, Dennis Rausch and David Echeberry are included herein. An assignment is also included for inventor Robert Maxwell and was executed by Katherine Maxwell on behalf of Mr. Maxwell, now deceased. Mr. Maxwell passed away shortly after the filing of the Provisional Application.

This assignment letter is signed by Production Manager Ernest E. Henton, on behalf of Fashion Displays, Inc.

Ernest E. Henton

Printed Name


Signature

Date

11-20-03